Rational Points on Hypersurfaces in Projective Space

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19.07.2006

joint work with Andreas-Stephan Elsenhans

The Fundamental Problem

Problem (Diophantine equation)

Given $f \in \mathbb{Z}[X_0, \dots, X_n]$, describe the set

$$\{(x_0,\ldots,x_n)\in\mathbb{Z}^{n+1}\mid f(x_0,\ldots,x_n)=0\},\$$

explicitly.

The Fundamental Problem

More realistic from the computational point of view:

Problem (Diophantine equation – search for solutions)

Given $f \in \mathbb{Z}[X_0, \dots, X_n]$ and B > 0, describe the set

$$\{(x_0,\ldots,x_n)\in\mathbb{Z}^{n+1}\mid f(x_0,\ldots,x_n)=0, |x_i|\leq B\},\$$

explicitly.

B is usually called the search limit.

Geometric Meaning

• Integral points on an *n*-dimensional hypersurface in \mathbf{A}^{n+1} .

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- Integral points on an *n*-dimensional hypersurface in A^{n+1} .
- If f is homogeneous: Rational points on an (n-1)-dimensional hypersurface V_f in \mathbf{P}^n .

A statistical forecast

$$Q(B) := \{(x_0, \ldots, x_n) \in \mathbb{Z}^{n+1} \mid |x_i| \leq B\}$$

Thus,

$$\#Q(B) = (2B+1)^{n+1} \sim C_1 \cdot B^{n+1}.$$

On the other hand,

$$\max_{(x_0,\ldots,x_n)\in Q(B)} |f(x_0,\ldots,x_n)| \sim C_2 \cdot B^{\deg f}.$$

Assuming equidistribution of the values of f on Q(B), we are therefore led to expect the asymptotics

$$\#\{(x_0,\ldots,x_n)\in V_f(\mathbb{Q})\mid |x_0|,\ldots,|x_n|\leq B\}\sim C\cdot B^{n+1-\deg f}$$

for the number of solutions.

Examples

The statistical projection explains the following well-known examples.

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- $n + 1 \deg f = 0$: A few solutions. Example: $y^2z = x^3 + 8xz^2$. Elliptic curves.

Another Example: $x^4 + 2y^4 = z^4 + 4w^4$. More generally, surfaces of type K3.

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- $n + 1 \deg f > 0$: Many solutions. Example: $x^2 + y^2 = z^2$. Conics.
 - Another Example: $x^3 + y^3 + z^3 + w^3 = 0$. Cubic surfaces.

A few complications

- Unsolvability
 - Unsolvability in reals, $x^2 + y^2 + z^2 = 0$.
 - p-adic unsolvability, $u^3 + 2v^3 + 7w^3 + 14x^3 + 49y^3 + 98z^3 = 0.$
 - Obstructions against the Hasse principle (Brauer-Manin obstruction, unknown obstructions?).

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 - Obstructions against the Hasse principle (Brauer-Manin obstruction, unknown obstructions?).
- "Accumulating" subvarieties:

$$x^3 + y^3 = z^3 + w^3$$
 defines a cubic surface V in \mathbf{P}^3 .

$$\#\{(x_0,\ldots,x_n)\in V(\mathbb{Q})\mid |x_0|,\ldots,|x_n|\leq B\}\sim C\cdot B$$

is predicted.

However, V contains the line given by x = z, y = w, on which there is quadratic growth, already.

The conjectures

Let V_f be a smooth hypersurface in \mathbf{P}^n .

• $n+1-\deg f<0$: Then, V_f is a variety of general type.

Conjecture (Lang)

All \mathbb{Q} -rational points on V_f are contained in finitely many closed subvarieties $V_1, \ldots, V_l \subsetneq V_f$.

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• $n+1-\deg f=0$: Then, V_f is a variety of intermediate type.

Conjecture (Batyrev-Manin)

For each $\varepsilon > 0$, there are finitely many closed subvarieties $V_1, \dots, V_l \subsetneq V_f$ such that

$$\#\{(x_0,\ldots,x_n)\in V^\circ(\mathbb{Q})\mid |x_0|,\ldots,|x_n|\leq B\}\ll C\cdot B^\varepsilon,$$

$$V^{\circ} := V_f \setminus (V_1 \cup \cdots \cup V_l).$$

The conjectures II

Let V_f be a smooth hypersurface in \mathbf{P}^n .

• $n+1-\deg f>0$: Then, V_f is a Fano variety.

Conjecture (Manin)

$$\#\{(x_0,\ldots,x_n)\in V^{\circ}(\mathbb{Q})\mid |x_0|,\ldots,|x_n|\leq B\}\sim C\cdot B^k\log^{r-1}B,$$

 $k := n + 1 - \deg f$, $r = \operatorname{rk}\operatorname{Pic} V$. C is an explicit constant (Peyre).

What is known?

 For curves, all the conjectures above are proven (Lang's conjecture: Faltings, Batyrev-Manin conjecture: Mordell-Weil, Manin's conjecture: Fano curves are rational, i.e. isomorphic to P¹).

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- Manin's conjecture is true for $n \gg 2^{\deg f}$ (circle method). [Birch, B. J.: Forms in many variables, Proc. Roy. Soc. Ser. A **265** (1961/1962), 245–263]
- If Manin's conjecture is true for X and Y then for $X \times Y$, too (Franke, Manin, Tschinkel).

What is known? II

- Manin's conjecture is established in many particular cases of low dimension, e.g.
 - generalized flag varieties (Franke, Manin, Tschinkel),
 - projective smooth toric varieties (Batyrev and Tschinkel),
 - certain toric fibrations over generalized flag varieties (Strauch and Tschinkel),
 - smooth equivariant compactifications of affine spaces (Chambert-Loir and Tschinkel),
 - $\mathbf{P}_{\mathbb{Q}}^2$ blown-up in four points in general position (Salberger, de la Bretèche).

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 - smooth equivariant compactifications of affine spaces (Chambert-Loir and Tschinkel),
 - $\mathbf{P}_{\mathbb{Q}}^2$ blown-up in four points in general position (Salberger, de la Bretèche).
- The simplest case where Manin's conjecture is open are smooth cubic surfaces. (There is, however, a lot of numerical evidence in this case [Peyre-Tschinkel, Heath-Brown].)

Numerical evidence for Manin's Conjecture

Experimental Result (E.+J.)

There is numerical evidence for Manin's Conjecture in the case of the hypersurfaces in $\mathbf{P}^4_{\mathbb{Q}}$ given by $ax^e = by^e + z^e + v^e + w^e$ for e = 3 and 4.

This requires algorithms to

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This requires algorithms to

- solve Diophantine equations,
- compute Peyre's constant,
- detect accumulating subvarieties.

An algorithm to solve Diophantine equations I

The following example was our starting point.

Example (Sir P. Swinnerton-Dyer, 2002)

The equation

$$x^4 + 2y^4 = z^4 + 4w^4$$

defines a K3 surface S in \mathbf{P}^3 .

(1:0:1:0) and (1:0:(-1):0) are \mathbb{Q} -rational points on S, the two obvious points.

Is there another \mathbb{Q} -rational point on S?

An algorithm to solve Diophantine equations II

Algorithm (A naive algorithm)

Write $x^4 + 2y^4 - 4w^4 = z^4$.

Let x, y, and w run in a triple loop and test whether $x^4 + 2y^4 - 4w^4$ is a fourth power.

Complexity: $C \cdot B^3$.

Realistic search bound: 50 000.

(We did a trial run with search bound 10 000.)

An algorithm to solve Diophantine equations III

Algorithm (A better algorithm)

The two sets $\{x^4+2y^4\mid |x|,|y|\leq B\}$ and $\{z^4+4w^4\mid |z|,|w|\leq B\}$ have $\sim B^2$ elements each. List them and form their intersection.

Facts

- Complexity: $O(B^2 \log B)$ (using sorting, D. Bernstein), $O(B^2)$ (assuming uniform hashing, E.+J.).
- Memory Usage: O(B²) (naively),
 O(B) (D. Bernstein's Algorithm

 generates the sets in sorted order.)

Detection of solutions of Diophantine equations – Hashing

Our method works for Diophantine equations of the form

$$f(x_1,\ldots,x_k)=g(y_1,\ldots,y_l).$$

Detection of solutions of Diophantine equations – Hashing II

Writing

We store the vectors (x_1, \ldots, x_k) in a hash table (with space for up to 2^{27} entries).

The hash function $H: \mathbb{Z} \to [0, 2^{27} - 1]$ is given by a selection of bits, i.e. H(z) := a selection of bits of $(z \mod 2^{64})$.

For each vector (x_1, \ldots, x_k) , the expression $H(f(x_1, \ldots, x_k))$ defines its position in the hash table.

Besides $(x_1, ..., x_k)$, we also write a *control value* $K(f(x_1, ..., x_k))$, K(z) := a selection of the remaining bits of $(z \mod 2^{64})$.

Reading

Then, we search for vectors (y_1, \ldots, y_l) such that hash value and control value do fit.

Detection of solutions of Diophantine equations – Hashing III

Remarks

- Assuming uniform hashing (which implies there are not too many solutions), the expected running time is $O(B^{\max(k,l)})$.
 - Congruence conditions might help to reduce the *O*-factor.

Detection of solutions of Diophantine equations – Hashing III

Remarks

- **4** Assuming uniform hashing (which implies there are not too many solutions), the expected running time is $O(B^{\max(k,l)})$.
 - Congruence conditions might help to reduce the *O*-factor.
- The algorithm actually detects pseudo-solutions where a coincidence of the control values and an "almost coincidence" of the hash values occurs.
 - Some *post processing* with an exact multiprecision calculation is necessary (Aribas, GMP).

How to reduce memory usage when hashing?

Idea (Paging)

Choose $m \in \mathbb{Z}$ sufficiently large. Form the sets

$$L_c := \{ f(x_1, \dots, x_k) \mid |x_1|, \dots, |x_k| \le B, f(x_1, \dots, x_k) \equiv c \pmod{m} \}$$

and

$$R_c := \{ g(y_1, \dots, y_l) \mid |y_1|, \dots, |y_l| \le B, g(y_1, \dots, y_l) \equiv c \pmod{m} \}$$

and work for each c separately.

- Memory usage: Reduced to $B^{\max(k,l)}/m$ (assuming equidistribution).
- One may work in parallel on several machines.

Equation $x^4 + 2y^4 = z^4 + 4w^4$ – Optimization through congruence conditions I

x and z are odd. y and w are even.

- Case 1: $5|y, w \pmod{5}|x, z|$. Then, $x^4 \equiv z^4 \pmod{625}$. We write pairs (x, z) and hash $x^4 - z^4$. We read $4w^4 - 2y^4$.
- Case 2: $5|x,y \pmod{5} \neq z,w$). Then, $z^4 + 4w^4 \equiv 0 \pmod{625}$. Here, we write pairs (z,w) and hash $z^4 + 4w^4$. We read $x^4 + 2y^4$.

These congruences are particularly strong. They reduce the number of writing steps to 0.512% and the number of reading steps to 4%.

Equation $x^4 + 2y^4 = z^4 + 4w^4$ – Optimization through congruence conditions II

Further congruences:

• Some congruence modulo 8:

In Case 1, we always have $32|4w^4 - 2y^4$. But $32|x^4 - z^4$ implies $x \equiv \pm z \pmod{8}$. This saves on writing.

There is no such optimization for Case 2.

Some congruences modulo 81:

In Case 1, $2y^4 - 4w^4$ represents (0 mod 3) only trivially. Therefore, we do not need to write (x,z) when $x^4 \equiv z^4 \pmod 3$ but $x^4 \not\equiv z^4 \pmod 81$.

In Case 2, there is a similar congruence which saves on the reading step.

A new solution – Answer to Sir P. Swinnerton-Dyer's question

Calculation

```
==> 1484801**4 + 2 * 1203120**4.
```

-: 90509_10498_47564_80468_99201

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Theorem (E.+J.)

Up to changes of sign, (1484801 : 1203120 : 1169407 : 1157520) is the only non-obvious $\mathbb Q$ -rational point of height $\leq 10^8$ on Sir P. Swinnerton-Dyer's surface S.

This means, on S there exist precisely ten \mathbb{Q} -rational points of height $\leq 10^8$.

19.07.2006

A new solution – Answer to Sir P. Swinnerton-Dyer's question II

Remarks

- The new solution was found on December 2, 2004 by an intermediate version of our programs for search bound $2.5 \cdot 10^6$.
- The final version of the programs (for search bound 10⁸) took almost exactly 100 days of CPU time on an AMD Opteron 248 processor. This time is composed almost equally of 50 days for Case 1 and 50 days for Case 2.
- The main computation was executed in parallel on two machines in February and March, 2005.

A new solution – Answer to Sir P. Swinnerton-Dyer's question III

Question

What is the asymptotics of $\#\{(x,y,z,w)\in S(\mathbb{Q})\mid H_{\mathsf{naive}}(p)\leq B\}$ for $B\to\infty$?

A wild guess:

$$\#\{(x,y,z,w)\in S\mid H_{\mathsf{naive}}(p)\leq B\}\sim (\log B)^{\alpha}$$

(similarly to abelian surfaces where $\alpha = \text{rk}(S(\mathbb{Q}))/2$.)

An even wilder guess: $\alpha = 1/2$.

Manin's Conjecture - Peyre's constant I

Recall, we consider the hypersurfaces in $\mathbf{P}^4_{\mathbb{Q}}$ given by

$$ax^e - by^e = z^e + v^e + w^e$$

for e = 3 and 4.

Remarks

- **①** Search for \mathbb{Q} -rational points is obviously of complexity $O(B^3)$.
- When considering O(B) varieties (differing only by a and b), simultaneously, then the running-time is still $O(B^3)$.

We considered the varieties with a, b = 1, ..., 100 (5 000 cubics, 10 000 quartics) with a search bound of 5 000 (cubics) and 100 000 (quartics).

Manin's Conjecture – Peyre's constant II

Conjecture (Manin's Conjecture – Version for hypersurfaces in \mathbf{P}^n)

Let the smooth variety $V_f \subset \mathbf{P}^n$ be given by f = 0. Then,

$$\#\{(x_0,\ldots,x_n)\in V^{\circ}(\mathbb{Q})\mid |x_0|,\ldots,|x_n|\leq B\}\sim C\cdot B^k\log^{r-1}B,$$

for $k = n + 1 - \deg(f)$ and $r = rk \operatorname{Pic} V$.

Here, C is an explicit constant (due to E. Peyre), [Peyre, E.: Hauteurs et mesures de Tamagawa sur les variétés de Fano, Duke Math. J. **79** (1995), 101–218, Définition 2.3]

Manin's Conjecture - Peyre's constant III

Definition (Peyre's constant)

For $n \ge 4$, Peyre's constant is the Tamagawa-type number

$$C = \prod_{p \in \mathbb{P} \cup \{\infty\}} \left(1 - \frac{1}{p} \right) \tau_p$$

where

$$au_p = \lim_{m o \infty} rac{\#V(\mathbb{Z}/p^m\mathbb{Z})}{p^{m\dim(V)}} \qquad ext{ for } p \in \mathbb{P}$$

and

$$\tau_{\infty} = \frac{1}{2} \int_{\substack{f(x_0, \dots, x_n) = 0 \\ |x_0| < 1}} \frac{1}{\frac{\partial f}{\partial x_j}} dx_0 \dots \widehat{dx_j} \dots dx_n.$$

An algorithm to count solutions I

To compute Peyre's constant, the main work to be done is to *count* solutions of the same equation $f(x_0, \ldots, x_n) = 0$ but over finite fields \mathbb{F}_p instead of \mathbb{Z} .

Consider an equation of the form

$$(+) \qquad \sum_{i=0}^{n} f_i(x_i) = 0.$$

Denote by $d^{(i)}(k) := \#\{x \in \mathbb{F}_p \mid f_i(x) = k\}$ the numbers of representations. Then, the number of solutions of (+) is equal to

$$(d^{(0)}*d^{(1)}*...*d^{(n)})(0).$$

Use FFT convolution to compute $d^{(0)} * d^{(1)} * ... * d^{(n)}$.



An algorithm to count solutions II

Remarks (Complexity)

- We need to compute *n* convolutions of vectors of length *p*.
- A convolution takes $O(p \log p)$ steps.

Algorithm (FFT point counting on

$$V_{a,b}^e$$
: $ax^e = by^e + z^e + v^e + w^e$,

e=3,4 over \mathbb{F}_p)

• Initialize a vector $X[0 \dots p]$ with zeroes.

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- **3** Calculate $\tilde{Y} := X * X * X$ by FFT convolution.
- ① Normalize by putting $Y[i]:=\tilde{Y}[i]+\tilde{Y}[i+p]+\tilde{Y}[i+2p]$ for $i=0,\ldots,p-1.$

(Now, Y[i] is the number of solutions of $z^e + v^e + w^e \equiv i \pmod{p}$.)

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- (Adding points with x = y = 0) Increase N by (Y[0] - 1)/(p - 1).

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- (Adding points with x = 0 and $y \neq 0$) Increase N by $Y[(-b) \mod p]$.
- (Adding points with x = y = 0) Increase N by (Y[0] - 1)/(p - 1).
- **②** Return N as the number of all \mathbb{F}_p -valued points on $V_{a,b}^e$.

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- ② To count, for fixed e and p, \mathbb{F}_p -rational points on $V_{a,b}^e$ with varying a and b, one needs to execute the first four steps only once. Afterwards, one may perform steps 5 through 9 for all pairs (a,b) of elements from a system of representatives for $\mathbb{F}_p^*/(\mathbb{F}_p^*)^e$ (i.e. at most e^2 times). Note that steps 5 through 9 alone are of complexity O(p).

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- **3** For $p \equiv 2 \pmod{3}$, one has $\#V_{a,b}^3(\mathbb{F}_p) = p^3 + p^2 + p + 1$. Analogously, for $p \equiv 3 \pmod{4}$, $\#V_{a,b}^4(\mathbb{F}_p) = p^3 + p^2 + p + 1$.

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- **③** For $p \equiv 2 \pmod{3}$, one has $\#V_{a,b}^3(\mathbb{F}_p) = p^3 + p^2 + p + 1$. Analogously, for $p \equiv 3 \pmod{4}$, $\#V_{a,b}^4(\mathbb{F}_p) = p^3 + p^2 + p + 1$.
- We ran this algorithm for all primes $p \le 10^6$ (such that $p \equiv 1 \pmod 3$) and $p \equiv 1 \pmod 4$, respectively,) and stored the cardinalities in a file. This took several days of CPU time.

Examples

 $x^4 = y^4 + z^4 + v^4 + w^4$

defines a smooth quartic threefold V in \mathbb{F}_p , $p=269\,117$. We find

$$\#V(\mathbb{F}_p) = p^3 + p^2 + p + 1 + 7028p.$$

 $11x^4 = 13y^4 + z^4 + v^4 + w^4$

defines a smooth quartic threefold V in \mathbb{F}_p , $p=269\,089$. We find

$$\#V(\mathbb{F}_p) = p^3 + p^2 + p + 1 - 840p.$$

Note that both examples are well within the Weil bound which says $\#V(\mathbb{F}_p)=p^3+p^2+p+1+C$ with $|C|\leq 60p^{3/2}$ in the case of a smooth quartic threefold.

Algorithm (Compute an approximate value for $\tau_{a,b,fin}^3$ $(\tau_{a,b,fin}^4)$)

1 Let p run over all prime numbers such that $p \equiv 2 \pmod{3}$ ($p \equiv 3 \pmod{4}$) and $p \leq N$ and calculate the product of all values of $(1-1/p^4)$.

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- **2** Compute the factor corresponding to p = 3 (p = 2).
- **③** Let p run over all prime numbers such that $p \equiv 1 \pmod{3}$ $(p \equiv 1 \pmod{4})$ and $p \leq N$. If p|ab then start a separate function for the case of bad reduction.
 - Otherwise, compute the e-th power residue-symbols of a and b and look up the precomputed factor for this \mathbb{F}_p -isomorphism class of varieties in the list.

Algorithm (Compute an approximate value for $\tau_{a,b,fin}^3$ $(\tau_{a,b,fin}^4)$)

- Let p run over all prime numbers such that $p \equiv 2 \pmod{3}$ $(p \equiv 3 \pmod{4})$ and $p \leq N$ and calculate the product of all values of $(1-1/p^4)$.
- **2** Compute the factor corresponding to p = 3 (p = 2).
- **③** Let p run over all prime numbers such that $p \equiv 1 \pmod{3}$ $(p \equiv 1 \pmod{4})$ and $p \leq N$. If p|ab then start a separate function for the case of bad reduction.
 - Otherwise, compute the *e*-th power residue-symbols of *a* and *b* and look up the precomputed factor for this \mathbb{F}_p -isomorphism class of varieties in the list.
- Multiply the two products from steps i) and iii) and the factor from step ii) with each other. Correct the product by taking the bad primes $p \equiv 2 \pmod{3}$ ($p \equiv 3 \pmod{4}$) into consideration.

Investigation of the cubic threefolds I

We determined all \mathbb{Q} -rational points of height less than 5 000 on the cubic threefolds $V_{a,b}^3$ given by

$$ax^3 = by^3 + z^3 + v^3 + w^3$$

for a, b = 1, ..., 100 and b < a.

Points lying on a \mathbb{Q} -rational line in $V_{a,b}$ were excluded from the count. The smallest number of points found is $3\,930\,278$ for (a,b)=(98,95). The largest numbers of points are $332\,137\,752$ for (a,b)=(7,1) and $355\,689\,300$ in the case that a=1 and b=1.

On the other hand, for each threefold $V_{a,b}^3$ where $a,b=1,\ldots,100$ and $b+3\leq a$, we calculated the number of points expected (according to Manin-Peyre) and the quotients

{ points of height < B found } / # { points of height < B expected }.

Let us visualize the quotients by two histograms.

Investigation of the cubic threefolds II

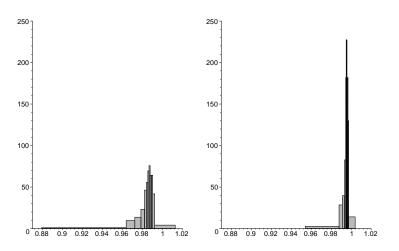


Figure: Distribution of the quotients for $B = 1\,000$ and $B = 5\,000$.

Investigation of the cubic threefolds III

Table: Parameters of the distribution in the cubic case

	B = 1000	B = 2000	B = 5000
mean value	0.981 79	0.988 54	0.99383
standard deviation	0.01274	0.008 23	0.004 55

Investigation of the quartic threefolds I

We determined all \mathbb{Q} -rational points of height less than 100 000 on the quartic threefolds $V_{a,b}^4$ given by

$$ax^4 = by^4 + z^4 + v^4 + w^4$$

for a, b = 1, ..., 100.

It turns out that on 5015 of these varieties, there are no \mathbb{Q} -rational points occurring at all as the equation is unsolvable in \mathbb{Q}_p for p=2, 5, or 29. In this situation, Manin's conjecture is true, trivially.

For the remaining varieties, the points lying on a known \mathbb{Q} -rational conic in $V_{a,b}$ were excluded from the count.

Investigation of the quartic threefolds II

Table: Numbers of points of height < 100000 on the quartics.

а	b	# points	# not on conic	# expected (by Manin-Peyre)
29	29	2	2	135
58	87	288	288	272
58	58	290	290	388
87	87	386	386	357
:	:	:	:	:
34	1	9 938 976	5 691 456	5 673 000
17	64	5 708 664	5 708 664	5 643 000
1	14	7 205 502	6 361 638	6 483 000
3	1	12 657 056	7 439 616	7 526 000

Investigation of the quartic threefolds III

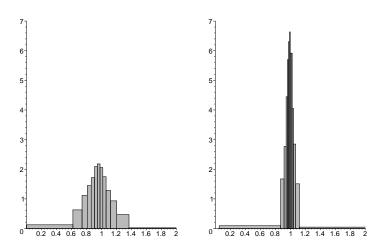


Figure: Distribution of the quotients for $B=1\,000$ and $B=10\,000$.

Investigation of the quartic threefolds IV

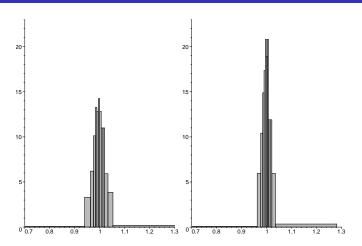


Figure: Distribution of the quotients for $B = 50\,000$ and $B = 100\,000$.

Investigation of the quartic threefolds V

Table: Parameters of the distribution in the quartic case

	B = 1000	B = 10000	B = 100000
mean value	0.9853	0.9957	0.9982
standard deviation	0.3159	0.1130	0.0372

Remark

In the cubic case, the standard deviation was by far smaller than in the case of the quartics. This is not very surprising as on a cubic there tend to be much more rational points than on a quartic. Thus, in the case of the cubic the sample is more reliable.

Investigation of the quartic threefolds VI

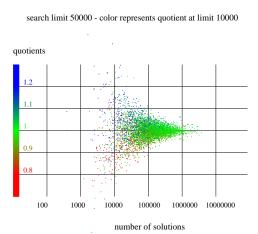


Figure: number of solutions and quotients for B = 50000.

Summary

• To search systematically for solutions of Diophantine equations like $x^4 + 2y^4 = z^4 + 4w^4$ or $7x^3 = 11y^3 + z^3 + v^3 + w^3$ ($n \ge 4$ variables), there are ways faster than the obvious (n-1)-times iterated loop. (Essentially, we need $O(B^{\lceil n/2 \rceil})$ steps).

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- To count solutions over \mathbb{F}_p (without determining all of them) is even faster $(O(np \log p) \text{ steps})$.

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- These two observations together may be used to test Manin's conjecture, numerically.

Remark (Conclusion)

The results suggest that Manin's conjecture should be true for the two families of threefolds considered.